

**Please note:** This form is used by our team to gather information to help open new accounts. It does not replace any of the new account forms Wells Fargo Advisors requires new clients to sign.

Name to appear on account \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Home Phone \_\_\_\_\_

Business Phone \_\_\_\_\_

Cell Phone \_\_\_\_\_

Email Address \_\_\_\_\_

Social Security Number \_\_\_\_\_

Date of Birth \_\_\_\_\_

Govt ID (drivers license, passport, etc.) \_\_\_\_\_

Govt ID No. \_\_\_\_\_

Govt ID Date of Issue \_\_\_\_\_

Date of Expiration \_\_\_\_\_

Place of Issue \_\_\_\_\_

Employer Name \_\_\_\_\_

Nature of Business \_\_\_\_\_

Title \_\_\_\_\_

Employer Address \_\_\_\_\_

Employer City \_\_\_\_\_

Employer State \_\_\_\_\_

Employer Zip \_\_\_\_\_

Wells Fargo Advisors is the trade name used by two separate registered broker dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC , members SIPC, non-bank affiliates of Wells Fargo & Company.

Employer Phone Number \_\_\_\_\_

Years with Employer \_\_\_\_\_

Highest Education Level \_\_\_\_\_

Single? Married? Divorced? Widowed?

Number of Dependents \_\_\_\_\_

Own? Or Rent? Residence

Annual Income \_\_\_\_\_

Liquid Assets \_\_\_\_\_

Net Worth - Excluding Residence \_\_\_\_\_

- A - \$0 - \$49,999
- B - \$50,000 - \$99,999
- C - \$100,000 - \$199,999
- D - \$200,000 - 499,999
- E - \$500,000 - \$99,999
- G - \$1,000,000 - \$4,999,999
- H - \$5,000,000 - \$9,999,999
- I - \$10,000,000 or more

Tax Bracket \_\_\_\_\_

- A - 10%
- B - 15%
- C - 25%
- D - 28%
- E - 33%
- F - 35%
- G - Other (please specify)

Year Investment Experience  
Stocks? \_\_\_\_\_

Bonds? \_\_\_\_\_

Options? \_\_\_\_\_

Annuities/Life Insurance? \_\_\_\_\_

UITs? \_\_\_\_\_

Mutual Funds? \_\_\_\_\_

Risk Tolerance (Choose One ) \_\_\_\_\_

- A Income - Conservative
- B Income - Moderate
- C Income - Long Term
- D Growth & Income - Conservative
- E Growth & Income - Moderate
- F Growth & Income - Long Term
- G Growth - Conservative
- H Growth - Moderate
- I Growth - Long Term
- J Trading & Speculation

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**\*\*Information Required on Spouse or Joint account owner\*\***

Spouse's Social Security Number \_\_\_\_\_

Spouse's Date of Birth \_\_\_\_\_

Spouse's Govt ID (drivers license, passport, etc.) \_\_\_\_\_

Spouse's Govt ID No. \_\_\_\_\_

Spouse's Govt ID Date of Issue \_\_\_\_\_

Date of Expiration \_\_\_\_\_

Place of Issue \_\_\_\_\_

Spouse's Employer Name \_\_\_\_\_

Spouse's Nature of Business \_\_\_\_\_

Spouse's Title \_\_\_\_\_

Spouse's Employer Address \_\_\_\_\_

Spouse's Employer City \_\_\_\_\_

Spouse's Employer State \_\_\_\_\_

Spouse's Employer Zip \_\_\_\_\_

Spouse's Employer Phone Number \_\_\_\_\_

Spouse's Year with Employer \_\_\_\_\_

Spouse's Highest Education Level \_\_\_\_\_

Child's Name \_\_\_\_\_

Child's Social Security Number \_\_\_\_\_

Child's Date of Birth \_\_\_\_\_

Child's Name \_\_\_\_\_

Child's Social Security Number \_\_\_\_\_

Child's Date of Birth \_\_\_\_\_

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