

Quantico Asset Management of Wells Fargo Advisors

**Please note:** This form is used by our team to gather information to help open new accounts. It does not replace any of the new account forms Wells Fargo Advisors requires all new clients to sign.

Name to appear on account \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Home Phone \_\_\_\_\_

Business Phone \_\_\_\_\_

Cell Phone \_\_\_\_\_

Email Address \_\_\_\_\_

Social Security Number \_\_\_\_\_

Date of Birth \_\_\_\_\_

Govt ID (drivers license) \_\_\_\_\_

Govt ID Date of Issue \_\_\_\_\_

Date of Expiration \_\_\_\_\_

Place of Issue (state) \_\_\_\_\_

Employer Name \_\_\_\_\_

Nature of Business \_\_\_\_\_

Title \_\_\_\_\_

Employer Address \_\_\_\_\_

Employer City \_\_\_\_\_

Employer State \_\_\_\_\_

Employer Zip \_\_\_\_\_

Wells Fargo Advisors is the trade name used by two separate registered broker dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC , members SIPC, non-bank affiliates of Wells Fargo & Company.



Quantico Asset Management of Wells Fargo Advisors

Employer Phone Number \_\_\_\_\_

Years with Employer \_\_\_\_\_

Highest Education Level \_\_\_\_\_

Single? Married? Divorced? Widowed?

Number of Dependents \_\_\_\_\_

Own? Or Rent? Residence

Select an appropriate letter

Annual Income \_\_\_\_\_

Liquid Assets \_\_\_\_\_

Net Worth - Excluding Residence \_\_\_\_\_

- A - \$0 - \$49,999
- B - \$50,000 - \$99,999
- C - \$100,000 - \$199,999
- D - \$200,000 - \$499,999
- E - \$500,000 - \$999,999
- G - \$1,000,000 - \$4,999,999
- H - \$5,000,000 - \$9,999,999
- I - \$10,000,000 or more

Tax Bracket \_\_\_\_\_

- A - 10% (0-15K)
- B - 15% (16-63K)
- C - 25% (64-128K)
- D - 28% (129-195K)
- E - 33% (196-349K)
- F - 35% (350K +)

Education Level \_\_\_\_\_

Years of Investment Experience  
Stocks? \_\_\_\_\_

Bonds? \_\_\_\_\_

Options? \_\_\_\_\_

Annuities/Life Insurance? \_\_\_\_\_

Unit Investment Trust? \_\_\_\_\_

Mutual Funds? \_\_\_\_\_

Risk Tolerance (Choose One) \_\_\_\_\_

- A - Income & Conservative
- B - Growth & Income + Conservative
- C - Growth & Moderate
- D - Growth & Income + Moderate
- E - Growth & Long Term
- G - Income & Moderate
- H - Growth & Conservative
- I - Income & Long Term
- K - Growth & Income + Long Term
- L - Trading & Speculation

Wells Fargo Advisors is the trade name used by two separate registered broker dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC , members SIPC, non-bank affiliates of Wells Fargo & Company.



"Information required if opening an IRA account"

**Primary Beneficiary**

Name \_\_\_\_\_

Date of Birth \_\_\_\_\_

Social Security Number \_\_\_\_\_

**Contingent Beneficiary**

Name \_\_\_\_\_

Date of Birth \_\_\_\_\_

Social Security Number \_\_\_\_\_

**\*\*Information Required on Spouse if Joint account owner\*\***

Spouse's Social Security Number \_\_\_\_\_

Spouse's Date of Birth \_\_\_\_\_

Spouse's Govt ID (drivers license) \_\_\_\_\_

Date of Expiration \_\_\_\_\_

Place of Issue (state) \_\_\_\_\_

Spouse's Employer Name \_\_\_\_\_

Spouse's Nature of Business \_\_\_\_\_

Spouse's Title \_\_\_\_\_

Spouse's Employer Address \_\_\_\_\_

Spouse's Employer City \_\_\_\_\_

Spouse's Employer State \_\_\_\_\_

Spouse's Employer Zip \_\_\_\_\_

Spouse's Employer Phone Number \_\_\_\_\_

Spouse's Years with Employer \_\_\_\_\_

Spouse's Highest Education Level \_\_\_\_\_

Wells Fargo Advisors is the trade name used by two separate registered broker dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC , members SIPC, non-bank affiliates of Wells Fargo & Company.

**\*\*Information required if Spouse is opening an IRA account\*\***

Spouse's Primary Beneficiary  
Name \_\_\_\_\_

Date of Birth \_\_\_\_\_

Social Security Number \_\_\_\_\_

Spouse's Contingent Beneficiary  
Name \_\_\_\_\_

Date of Birth \_\_\_\_\_

Social Security Number \_\_\_\_\_

Child's Name \_\_\_\_\_

Child's Social Security Number \_\_\_\_\_

Child's Date of Birth \_\_\_\_\_

Child's Name \_\_\_\_\_

Child's Social Security Number \_\_\_\_\_

Child's Date of Birth \_\_\_\_\_

Wells Fargo Advisors is the trade name used by two separate registered broker dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC , members SIPC, non-bank affiliates of Wells Fargo & Company.